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St. Gallen, 9th december 2016

**Transfer of claims / Lehman Brothers Holding / Registration**

Dear Sir or Madam

By order of **Regiobank Solothurn AG** we send you enclosed the following forms:

- Transfer / Evidence of Transfer
- payment aggregation

Thank you very much for your confirmation of the registration.

With compliments

**Finanz-Logistik AG**

A handwritten signature in black ink, appearing to read 'Marcel Ledergerber'.

Marcel Ledergerber

A handwritten signature in black ink, appearing to read 'Roman Lengwiler'.

Roman Lengwiler

Copy: U.S. Bankruptcy Court of the Southern District of N.Y., One Bowling Green, New York, NY 10004

Lehman Brothers Holdings Claims Processing, PO Box 6389, Portland, OR 97228-6389

Creditor Name: *Regiobank Solothurn AG*

Payment Aggregation Number

Claim Number(s):

Please Type of Print in the Boxes Below; Do NOT use Red Ink, Pencil, or Staples

PART I: CONTACT INFORMATION

Contact Last Name

L E D E R G E R B E R

Mi

Contact First Name

M A R C E L

Telephone Number (Day)

0 4 1 - 7 7 2 4 2 - 7 7 1 7

Telephone Number (Evening) or (Cell)

- - - - -

Email Address

M A R C E L . L E D E R G E @ F I N A N Z - L O G I S T I K . C H

(Username)

B E R

(Domain Name)



Creditor Name:

Payment Aggregation Number:

Part II: BANK INFORMATION

Account Holder Name (if different than Creditor Name above):

REGIOBANK SOLOTHURN AG

Beneficiary Bank Name:

ZUERCHER KANTONALBANK

Country of Bank Account:

SWITZERLAND

IBAN or Account Number (Required):

CH7300700070001279408

BIC/SWIFT Code (non-US Banks only):

ZKBKCH2280A

ABA Routing Code (US Banks Only):

Sort Code (UK/IRL Only):

Other Banking Instructions - Specify Further Credit (FFC) Information Here:

CLAIM LEHMAN BROTHERS

If you need to use an intermediary bank to transfer money, please complete the below:

Intermediary Bank Name:

Country of Intermediary Bank Account:

Intermediary IBAN or Account Number:

BIC/SWIFT Code (non-US Banks only):

ABA Routing Code (US Banks Only):

Sort Code (UK/IRL Only):

Intermediary Bank Instructions

I, the undersigned, am the above-referenced creditor, or an authorized signatory for the above-referenced creditor. (If the signatory is not the above-referenced creditor, please provide a copy of the power of attorney, or a letter on company letterhead granting the authority to make the representation on behalf of the creditor, and provide your title below.) I hereby (1) request that distributions made to the above-referenced creditor be made by wire transfer to the above-referenced bank account, (2) confirm that this account is authorized to receive payment for this claim, and (3) acknowledge that a fee of \$20 for transfers to a U.S. bank account or \$35 for transfers to a non-U.S. bank account will be deducted from each wire.

Firanz-Logistik AG

Signature of Claimant, or Authorized Signatory

Marcel Ledergerber Roman Kengwiler

Print Name of Claimant, or Authorized Signatory (and title, if applicable)

Date: 12 - 09 - 16  
M M D D Y Y



Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: JMP

Court ID (Court Use Only) \_\_\_\_\_

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> <b>Regiobank Solothurn AG</b> c/o Finanz-Logistik AG Rosenbergstrasse 16 9004 St. Gallen, Switzerland PHONE: +41 71 242 77 17 Attn: Marcel Ledergerber EMAIL: marcel.ledergerber@finanz-logistik.ch	<u>Name of Transferor:</u> UBS AG
Notices to Transferee should be sent to: <b>Regiobank Solothurn AG</b> c/o Finanz-Logistik AG Rosenbergstrasse 16 9004 St. Gallen, Switzerland PHONE: +41 71 242 77 17 Attn: Marcel Ledergerber EMAIL: marcel.ledergerber@finanz-logistik.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u>	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

**Regiobank Solothurn AG**  
c/o Finanz-Logistik AG  
Rosenbergstrasse 16  
9004 St. Gallen, Switzerland

Date: 2016/12/09

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Sec. NV	ANN5214A8303	LBS NV	LBH Inc.	Units 40.00
Lehman Bros. Sec. NV	ANN5214R2547	LBS NV	LBH Inc.	Units 15.00
Lehman Bros. Treasury BV	CH0034783685	LBT BV	LBH Inc.	USD 10'000.00
Lehman Bros. Treasury BV	XS0257807874	LBT BV	LBH Inc.	USD 110'000.00
Lehman Bros. Treasury BV	XS0260769434	LBT BV	LBH Inc.	USD 70'000.00
Lehman Bros. Treasury BV	XS0265627751	LBT BV	LBH Inc.	Units 1'000.00
Lehman Bros. Treasury BV	XS0290588572	LBT BV	LBH Inc.	EUR 30'000.00
Lehman Bros. Treasury BV	XS0300658597	LBT BV	LBH Inc.	CHF 20'000.00
Lehman Bros. Sec. NV	XS0320322901	LBT BV	LBH Inc.	CHF 45'000.00

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

UBS AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

**Regiobank Solothurn AG**  
c/o Finanz-Logistik AG  
Rosenbergstrasse 16  
9004 St. Gallen, Switzerland  
PHONE: +41 71 242 77 17  
Attn: Marcel Ledergerber  
EMAIL: marcel.ledergerber@finanz-logistik.ch



("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated December 6, 2016.

UBS AG  
Transferor

By: 

Name: Matthias Mohos  
Title: Associate Director

By: 

Name: Stephan Gfeller  
Title: Associate Director

ACKNOWLEDGED BY:

Regiobank Solothurn AG  
Transferee

  
Finanz-Logistik AG

By: 

Name: Marcel Ledergerber  
Title: Member of the Management